of this Application:

Class 435 Subclass

Prior Application:
Examiner <u>M. Brannock</u>
Group Art Unit 1646

Attorney's
Docket
No. 35997-A3ZYA/JPW/ADM

HOWAVABLE ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

is enclosed.

X The filing fee is calculated as follows:

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SIR:	·			August 14, 200	
This is a	request for fil.	ing a <u>X</u>	CONTINUAT	ION	
DIVIS	IONALCON	II-NOITAUNIT	и-РАКТ арр	lication unde	2 T
	F.R. §1.53(b)				or application
Scrial No.	09/145,864	filed on _	September	2. 1998	of
Hung-Teh	Kao, Paul R. Harti	g and Theresa	Branchek	· ·	-fot-
Inventor	(s)		_		
U U DNA ENCO	DING A HUMAN SEROTO	NIN (5-HT2) R	ECEPTOR AND	USES THEREOF	
Title of	Invention		_		
	nclosed is a copuled and an afficue copy.	davit or de	Claracion	verifying it	
	verified statem 7 C.F.R. §1.9 and	ent to esta d 1.27	blish sma	ll entity sta	itus under
	1				

was filed in the prior application and such status

is still proper and desired (37 C.F.R. §1.28(a)).

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

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otal Claims	7 - 20	=	0	х	9	18	=	\$	\$ 0
ndependent Claims	3 - 3	=	0	x	40	80	-	\$	\$ 0
ultiple Dependent Claims Presented: Yes X No				135	.270	-	\$	\$ 0	
		, <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>			BASIC	FEE		\$355	\$ 716
If the difference in Col. 1 is less than zero, enter "0" in				TOTAL FEE			\$	\$ 710	

liling an application pursuant to this section expressly abandons the parent application.

Cont.Div. Page 2

	Page 2	
4.	<u>X</u>	The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
		Any additional filing fees required under 37 C.F.R. §1.16.
		Any patent application processing fees under 37 C.F.R. §1.17.
		The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
· · · · · · · · · · · · · · · · · · ·	X	Three copies of this sheet are enclosed.
	X	A check in the amount of $$710.00$ is enclosed.
Burn .		Cancel claims
Arrivation of the state of the		Amend the specification by inserting before the first line the sentence:This is acontinuationdivision of application Serial No, filed
	·X	$\frac{10}{\text{are enclosed.}}$ of informal \underline{X} formal drawing(s) is/
0		Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
1.		Priority of application No filed on
		is claimed under 37 U.S.C. §119.
•		The certified copy of the priority application has been filed in prior application Serial No. , filed
2. <u>X</u> Ti		The prior application is assigned of record to Synaptic Pharmaceutical Corporation
3.	<u> </u>	A preliminary amendment and Information Disclosure Statement including PTO Form 1449 are enclosed.
4.	X	The power of attorney in the prior application is to:
		John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Ivan S. Karukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter A. Luccarelli, Jr. (Reg. No. 29,750); Peter J. Phillips (Reg. No. 29,691).

Cont/Div./FWC Page 3 The power appears in the original papers in the prior application. Since the power does not appear in (b) the original papers, a copy of the power in the prior application is enclosed. Address all future communications to: (c) (May only be completed by applicant, or attorney or agent of record.) John P. White Cooper & Dunham LLP 1185 Avenue of the Americas 1 1 E New York, New York 10036 Also enclosed Express Mail Certificate of Mailing No. X dated August 14, 2001, duplicate set of EL525962900US figures I hereby verify that the attached papers are a true copy X of prior application Serial No. 09/145,864 as originally September 2, 1998, which in turn was ultimately a copy of prior application Serial No. 07/429,832 filed October 31, 1989
The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon. August 14, 2001 Signature Alan J. Morrison Reg. No. 37,399 Date INVENTOR(S) ASSIGNEE OF COMPLETE INTEREST. ATTORNEY OR AGENT OF RECORD FILED UNDER 37 C.F.R. §1.34(a) Address of Signator: Cooper & Dunham LLP 1185 Avenue of the Americas

10036

New York, N w York

Hung-Teh-Kao, et al.

Filed: Herewith

Serial No.: Not Yet Known

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hung-Teh Kao, et al.

U.S. Serial No. : Not Yet Known

Filed : Herewith

For : DNA ENCODING A HUMAN SEROTONIN (5-HT₂)

RECEPTOR AND USES THEREOF

1185 Ave of the Americas New York, New York 10036 August 14, 2001

Assistant Commissioner for Patents Washington, D.C. 20231 Attn: Box Patent Application

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

Express M	ail" Mailing Labe	el Number: <u>EL</u>	525962900US
Date of de	_		ust 14, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Attn. Box Patent Application.

Printed Name Jane Lass

Respectfully submitted,

Alan J. Morrison

Registration No. 37,399 Attorney for Applicant(s) Cooper & Dunham LLP

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